Hydro- and thermo-dynamic characteristics of a circular cylinder placed in mixed convection flow

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7 ABSTRACT: The fluid-thermal-structure interaction (FTSI) of a heated circular cylinder is 8 numerically investigated at Pr = 0.71, Re = 60-160, and Ri = 0-2.0 in this article using the stabilized 9 finite element method (FEM). The heat convection characteristics along the cylinder's surface in 10 both forced and mixed convection subject to cross buoyancy are discussed and linked to the fluid 11 instabilities. Additionally, the hydrodynamic characteristics are investigated in both time and 12 frequency domains according to the strength of thermal cross buoyancy. Multiple harmonics of 13 hydrodynamic coefficients and heat convection are identified from their frequency domains. 14 Reynolds stresses are utilized to study the energy cascade of fluid kinetic energy and thermal energy 15 via the fine-scale fluid fluctuation in the wake. Furthermore, the dynamic mode decomposition 16 (DMD) technique is employed to extract the dominant spatial-temporal modes from the original 17 field data. It is found that more linear DMD modes are required to accurately reconstruct the 18 vorticity and temperature contours. It implies that strong nonlinear features exist in the wake and 19 are influenced by the thermal buoyancy.

20

21 I. INTRODUCTION

Flow around a circular cylinder is usually accompanied with heat exchange in engineering applications. Based on the Richardson number ($Ri = Gr/Re^2$, where Gr and Re are the Grashof and Reynolds numbers, respectively), the heat exchange could be classified into three main categories: forced convection, natural convection and mixed convection. The vortex shedding of a circular cylinder in mixed convection is physically more complicated in comparison with that in forced or

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27 natural convection owing to the combined effects of buoyancy and viscous force. The purpose of 28 this article is not only to characterize the hydro-thermal mechanism of the wake subject to strong 29 cross buoyancy but also to evaluate the feasibility of dynamic mode decomposition analysis in flow-30 temperature field reconstruction and prediction.

31 The von Kármán vortex street¹ behind a circular cylinder is frequently employed as a canonical 32 case in literature to study the hydrodynamic instability in wake and has drawn a great attention 33 among the fluid community. In the past, the studies of this wake instability primarily focus on the 34 study of the isothermal flow in a wide range of Reynolds number (e.g. Roshko,² Abernathy and 35 Kronauer,³ Berger and Wille,⁴ Bearman⁵ and Williamson⁶). Before the onset of flow transition (e.g. Re approximately equals to 180 for a circular cylinder⁷⁻⁹), the flow is two dimensional, periodic and 36 37 behaves as a dynamic system of limited circle. A sequence of alternatively shedding vortices from 38 the upper and lower shear layers of the cylinder is observed. Because of the negligible influence of 39 buoyancy effect on the fluid inertia, the hydrodynamics in forced convection is practically identical 40 to those in an isothermal incompressible flow, except for the heat convection across the thermal 41 boundary layers of a heated cylinder.

42 In thermal engineering, the hot and cool fluid media are usually separated by metal tubes. The 43 primary objective in engineering design is to improve the heat exchange efficiency across the metal tubes. Schmidt and Wenner¹⁰ were the first to report the local heat transfer along a circular cylinder. 44 It is known that the maximum heat transfer can be found around the forward and rear stagnation 45 points¹¹⁻¹⁴ and the distribution of heat convection and pressure in wake are symmetric with respect 46 47 to the incoming flow in the forced convection. Whereas for the mixed convection, the thermal **48** buoyancy effect is critical and can significantly perturb the vortex dynamics in wake. Therefore, the 49 vortex formation and wake structure are completely dependent on Re, Ri and Pr numbers together 50 and are influenced by the gravitational force. A strong cross-buoyancy effect may cause a significant 51 asymmetry of the wake in the gravitational direction, because the direction of thermal buoyancy is 52 opposite to the direction of gravity (same direction for a cooled cylinder). Hence, the most of the research done in the past can be divided into three areas, following the terminology used by Badr:¹⁵⁻ 53 54 ¹⁶ (1) parallel flow, (2) contra-flow, and (3) horizontal cross-flow.

55

For the parallel flow, Joshi and Sukhatme¹⁷ compared the difference of the heat transfer

56 characteristics between two types of thermal boundary conditions over a cylinder's surface: a 57 constant temperature and a variable heat flux. They analyzed the heat transfer within the cylinder's 58 boundary layer and the wall shear stress. It was found that the local Nusselt number $(Nu_{(\theta)})$ 59 distribution, the wall shear stress and the separation point all increase proportionally with Ri number. Therefore the thermal buoyancy force must be considered when Ri > 2.¹⁷ Chatterjee¹⁸ also reported 60 two phenomena in parallel flow: the suppression of flow separation occurring at relatively low 61 62 Reynolds numbers (10-40) and the suppression of vortex shedding at a moderate Reynolds numbers 63 (50–150). Further numerical simulations were carried out for Re = 10-40 and three different Prandtl numbers Pr = 0.71, 7 and 50 to compute the critical Ri number for the complete suppression of flow 64 separation around the bluff bodies of circular and square shapes.¹⁹ By comparing the results in 65 literature,²⁰⁻²¹ it is realized that as the *Re* number increases, a higher *Ri* number (the thermal 66 67 buoyancy effect in parallel flow) is required to suppress the vortex shedding behind a cylinder.

For the contra-flow, Hu and Koochesfahani²³ studied the vortex shedding and the wake 68 69 structure behind a cylinder in both forced and mixed convection by changing the direction of gravity 70 with respect to the incoming flow. When the *Ri* number is relatively small ($Ri \le 0.31$), the vortex 71 shedding process in the wake behind a heated cylinder is similar to that of an unheated cylinder. As 72 the Ri number increases to 0.50, the wake vortex shedding process is "delayed" and the vortex 73 structures are shed much further downstream. As the value of Ri number is close to the unity (Ri > 74 (0.72), the concurrent shedding of smaller vortex structures is observed in the near wake of the heated 75 cylinder. The smaller vortex structures are found to behave more like the "Kelvin-Helmholtz" 76 vortices instead of the Kármán vortices. Therefore, the adjacent small vortices are found coalescing 77 into the larger vortical structures further downstream. It is also found that the shedding frequency 78 of the vortical structures in wake decreases with the increase of *Ri*. In practice, this result is the same as those reported in the previous works,²⁴⁻²⁶ changing the temperature of cylinder instead of the 79 80 direction of gravity. By changing the heated (Ri > 0) cylinder into a cooled (Ri < 0) one, the effect of countercurrent thermal buoyancy can also be achieved in parallel flow. Chang and Sa²⁴ reported 81 that vortex stops shedding when Gr > 1500 (Ri > 0.15) at Re = 100. This is identified as a 82 "breakdown of the Kármán vortex street" in wake. Parallel flow thermal buoyancy can inhibit the 83 84 vortex shedding, whereas the contra-flow thermal buoyancy can induce the vortex-shedding

85 mechanism. The same conclusion is also drawn by Hatanaka and Kawahara.²⁷

For the horizontal cross-flow, one obvious phenomenon reported in experiments²⁸⁻³⁰ and 86 numerical simulations^{15, 31-32} is that the coherent structure in wake is deflected aside due to the 87 88 thermal cross buoyancy. In the early seventies last century, this effect was investigated to determine the global effects of the induced heat on the heat exchange coefficient.²⁸ It was reported that the heat 89 transfer coefficient was influenced considerably by the buoyancy-driven flow when Ri > 0.2. 90 91 Furthermore the variation of vorticity, pressure and local Nusselt number around the cylinder surface 92 in horizontal cross-flow can be acquired from Badr's result.¹⁵ By studying the temperature distribution within the wake, the researchers also concluded that this temperature distribution can 93 be quite well approximated by the theoretical distribution for a diffusing line vortex.²⁹ Kieft et al.^{30,} 94 ³³⁻³⁴ carried out many experiments and simulations to explain the reason of deflected vortex wake 95 structure and the phenomenon of flow transition in wake.^{35,36} In literature, it was found that the 96 97 deflection of wake is caused by the baroclinic vorticity. The difference of vortex strength will lead to the drift rotation of the lower side vortex around the upper side vortex.³⁷ Biswas and Sarkar³⁸ and 98 Sarkar et al.¹³ also reported in their works that the thermal buoyancy makes the steady flow 99 100 separation unsteady. By comparing with literature and experiments, they noticed that boundary layer 101 overpassed the leading edge separation phenomenon at low Re, and the vortex formed on the upper 102 wall boundary due to the high block ratio, 0.05. In the cross buoyancy configuration, the onset of 103 vortex shedding induced by the thermal buoyancy is shown at relatively low Reynolds numbers (10-40).¹⁸ Recently, Garg et al.³⁹ reported that when *Ri* number is between 1 and 2, the thermal 104 105 buoyancy can inhibit the vortex-induced vibration (VIV) at a low Re number (Re=50) until a critical 106 high Re number (Re=150). However, while the Ri number is between 3 and 4, the galloping of cylinder is observed for different Re numbers. Recently Liu and Zhu³² also noticed a secondary VIV 107 108 lock-in phenomenon in mixed convection and reported the energy transfer characteristics of a 109 vibrating cylinder subject to the cross buoyancy.

110 Nowadays, as the advancement of computing technique, the availability of large-scale high-111 fidelity data is significantly boosted and widely accessible. The reduced order modeling techniques 112 have been developed as a reliable and robust analytical tool to study the complex dynamics 113 embedded in the high-fidelity data in the community of fluid mechanics.⁴⁰ Dynamic mode

114 decomposition (DMD) is a robust and widely-accepted reduced order technique to extract and 115 analyze the spatial-temporal modes of a dynamic system based on the time sequence of high-fidelity data. In the study of flow over a cylinder, Wang and Yu⁴¹ used the DMD method to analyze the 116 117 vortex shedding of a vibrating square column, and studied the effects of St and Re on the vibration modes. Tu et al.⁴²⁻⁴³ applied the DMD method to experimental and numerical results of flow behind 118 119 a plate with an elliptic front, and discussed the interaction between shear layers in wake.

120 In summary, the combined effect of Reynolds and Richardson numbers on the hydrodynamics 121 and thermodynamics characteristics of a circular cylinder in mixed convective flow is far from well 122 understood. Furthermore, to the best of the authors' knowledge, the modal analysis of the wake 123 behind a heated cylinder in mixed convection flow subject to cross-buoyancy effect has not been 124 studies in the past. Therefore, the main objectives of this article are to reveal the intrinsic relationship 125 between the thermodynamics and hydrodynamics characteristics for a heated cylinder subject to 126 cross buoyancy and to evaluate the nonlinear features in the wake using the DMD technique. The 127 results in the reconstruction and prediction of flow-temperature field will provide a reference for the 128 subsequent data mining analysis or AI of thermal-fluid-structure interaction. The structure of this 129 article is organized as follow. The governing equations, problem setup, numerical formulations and 130 code validation are introduced in Section II. Subsequently the results and discussion are presented 131 in Section III. Finally the conclusions are drawn in Section IV.

132 **II. PHYSICAL MODEL AND GOVERNING EQUATIONS**

133 A. Governing equations and problem description

137

134 The unsteady Navier-Stokes equations are coupled with the conservation of energy equation 135 via Boussinesq approximation in this work to simulate the heat transfer and flow around the circular 136 cylinder. The governing equations and associated boundary and initial conditions are expressed as:

$$\nabla \cdot \boldsymbol{u} = 0 \qquad \qquad \forall \boldsymbol{x} \in \Omega^{\mathcal{J}}(t) \tag{1a}$$

138
$$\rho\left(\partial_{t}\boldsymbol{u} + (\boldsymbol{u}\cdot\nabla)\boldsymbol{u}\right) = \nabla\cdot\boldsymbol{\sigma} + \rho\boldsymbol{g} \qquad \forall \boldsymbol{x}\in\Omega^{f}(t)$$
(1b)

139
$$\partial_t T + (\mathbf{u} \cdot \nabla) T = \alpha \nabla^2 T \qquad \forall \mathbf{x} \in \Omega^f(t)$$
 (1c)

- $\boldsymbol{u} = \boldsymbol{h}, \quad T = \boldsymbol{h}, \quad \forall \boldsymbol{x} \in \Gamma_D^f(t)$ $\boldsymbol{\sigma} \cdot \boldsymbol{n} = \boldsymbol{h}, \quad \alpha (\nabla T) \cdot \boldsymbol{n} = \boldsymbol{h}, \quad \forall \boldsymbol{x} \in \Gamma_N^f(t)$ 140 (1d)
- 141 (1e)

142
$$\boldsymbol{u} = \boldsymbol{u}_{\boldsymbol{\theta}} ; \quad T = \boldsymbol{f}_{\boldsymbol{\theta}}^{\prime} \qquad \forall \boldsymbol{x} \in \Omega^{f}(0)$$
(1f)

143 where \boldsymbol{u} is the flow velocity vector, \boldsymbol{x} is the position vector, ρ is the fluid density, p is the pressure, 144 t is the flow time, g = [0, -g]' = [0, -9.81]' is the gravitational acceleration vector, σ is the 145 Cauchy stress tensor, T is the temperature, α is the thermal diffusivity, $\boldsymbol{\ell}$ represents the prescribed flow velocity imposed along the boundaries, \hat{T} represents the prescribed temperature imposed 146 along the boundaries, n is the unit outward normal vector of the element's edge, h and a are the 147 148 prescribed convection transfer coefficient and heat flux along the boundaries, respectively, h_{8} 149 represents the initial flow velocity, p_0^{\prime} represents the initial temperature, and Γ_D and Γ_N denote the 150 Dirichlet and Neumann domain boundaries, respectively. The term $\partial_t(\cdot)$ represents the partial 151 derivative with respect to time. The Cauchy stress tensor (σ) is a function of u and p and defined as:

$$\boldsymbol{\sigma} = -p\boldsymbol{I} + 2\boldsymbol{\mu}\boldsymbol{\varepsilon} \tag{2a}$$

153
$$\boldsymbol{\varepsilon} = \frac{1}{2} \left[\nabla \boldsymbol{u} + (\nabla \boldsymbol{u})' \right]$$
(2b)

where I is the Kronecker matrix, μ is the dynamic viscosity, ε is the strain rate tensor, and the superscript (') is a transpose operator.

The non-dimensional force component is $C_D = 2F_D/\rho U_x^2 D$ and $C_L = 2F_L/\rho U_x^2 D$, where $F(F_D, F_L)$ is the fluid force imparted to the elastically mounted cylinder in the streamwise and transverse directions. The temperature is normalized by the maximum temperature differences expressed as T^* $= (T - T_{in})/(T_w - T_{in})$, where the T^* is the normalized temperature, T_w and T_{in} represent the cylinder surface (maximum) and inlet (minimum) temperatures in the computational domain, respectively. The local Nusselt number $Nu_{(\theta)}$ of a specific location on the cylinder surface and the Nu of the entire cylinder surface are defined as:

$$Nu_{(\theta)} = -\nabla T_{(\theta)}^* \cdot \boldsymbol{n}_{(\theta)}$$
(3a)

164

$$Nu = \frac{1}{\ell} \int_{\theta=0}^{\ell} Nu_{(\theta)} d\theta$$
(3b)

Figure 1 illustrates the employed computational domain and associated boundaries. The circular cylinder is initially placed at the origin (x = 0, y = 0), and the computational domain extends 35D downstream and 10D upstream from the cylinder center. The cylinder are placed centrally in

168 the transverse direction, 25D from both the upper and lower boundaries. Consequently, the blocking



169 ratio is 2%, meeting the requirement of blocking ratio less than 6%.⁴⁴⁻⁴⁵

170

171 Figure 1. Schematic of the computational domain and associated boundary conditions.

The configuration of research in this article consists of a horizontal heated cylinder with constant wall temperature $T^* = 1$ and no-slip velocity boundary conditions, which is exposed to a uniform horizontal cross-flow with velocity $u^* = 1$, $v^* = 0$ and temperature $T^* = 0$. The Neumann-type boundary conditions ($h^* = 0$ and $q^* = 0$) are applied along the outlet. The two lateral boundaries are defined as the symmetry boundary condition with $v^* = 0$, $h^* = 0$ and $q^* = 0$. The Prandtl number are fixed at Pr = 0.71, and the Reynolds number of the heated cylinder is examined for Re = 60-160with the Richardson number ranging from 0 to 2.

B. Finite-element mesh structure

180 In this investigation, the computational domain, $45D \times 50D$, is meshed by Gmsh in Fig. 2, 181 where D is the diameter of the cylinder. A non-uniform grid distribution was employed with a more 182 refined grid generated around three circular cylinders wall, and the smallest normalized grid height 183 near the cylinder surface is set to 0.02 with $y^+ = 0.35$ less than 1. The grid was further refined along 184 a rectangular region encompassing the cylinder to accurately capture the wake and vortex street 185 behind the cylinder. A close-up view of the mesh around the cylinder is shown in Fig. 2. The mesh 186 is made up of a structured part near the cylinder's surface, which is adequately refined to capture the 187 boundary layer. The unstructured part of the mesh is created via Delaunay's triangulation technique.



Figure 2. Finite-element mesh structure of the entire computational domain and grid distributionaround the circular cylinder with zoom-in view of the boundary-layer elements.

188

191 A mesh independence check was carried out to determine a reasonable mesh resolution. The 192 influence of mesh resolution on the key results is summarized in Table I. The relative deviations in 193 parentheses represent the difference between the present result and that obtained with M3, where C 194 m_{p}^{men} , C_{t}^{RMS} , St and Nu^{mean} are the time-mean drag coefficient, the root-mean-squared lift coefficient, 195 Strouhal number and the mean Nusselt number, respectively. It is evident that the errors of 196 hydrodynamic and thermal coefficients are within 1 % for M2. Thus, M2 is adopted in the 197 subsequent calculation. After that, the results of time step convergence analysis together with the 198 maximum Courant-Friedrichs-Lewy (CFL) number in the entire computational domain are listed 199 in Table II. It shows that the normalized time step of dt = 0.01 ($dt = \Delta t U_{ad}/D$, Δt is the time step) is 200 reasonable, where the errors are within 1% compared with the referential values at dt = 0.005. Hence, 201 the normalized time step dt = 0.01 is employed for the simulations.

Table I. Mesh independence check for flow past a circular cylinder in mixed convective flow at Re= 100, Pr = 0.71 and Ri = 1.0 with normalized time step of dt = 0.01.

Mesh	Elements	$C_{ extsf{D}}^{ extsf{mean}}$	$C_{\scriptscriptstyle m L}^{ m RMS}$	St	Nu ^{mean}
M1	36738	1.302 (0.68%)	0.257 (1.53%)	0.175 (0.00%)	5.119 (1.93%)

M2	58812	1.310 (0.07%)	0.261 (0.00%)	0.175 (0.00%)	5.212 (0.15%)
M3	80246	1.311	0.261	0.175	5.220

204

205 Table II. Time step convergence analysis for flow past a circular cylinder in mixed convective flow

206 at Re = 100, Pr = 0.71 and Ri = 1.0 with M2 mesh.

Time step	$C_{ m D}^{ m mean}$	$C_{\scriptscriptstyle m L}^{ m RMS}$	St	Nu ^{mean}	Max CFL
dt = 0.020	1.289 (1.60%)	0.257 (1.91%)	0.175 (0.00%)	5.126 (1.80%)	1.21
dt = 0.010	1.310 (0.00%)	0.261 (0.38%)	0.175 (0.00%)	5.212 (0.15%)	0.61
dt = 0.005	1.310	0.262	0.175	5.220	0.30

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216 217

208 C. Code Validation

The derived numerical formulation is validated for the flow around a heated isolated circular cylinder at Pr = 1 and 10, as illustrated in Fig. 3(a). Detailed code validation is done for an isolated cylinder in the literature.³² It can be seen that the obtained mean Nusselt numbers from the derived formulation for heat convection flow match well with the literature.^{13,46,47} Furthermore, it is also validated with the experimental and simulation results^{7,48-50}, as shown in Fig. 3(b), the Strouhal number (*St*) consisting with literature well for the flow around a heated isolated circular cylinder in forced convection with the *Re* at ranging of 60–160 in this study.



218 Figure 3. Validation of the implemented numerical algorithm for flow past a circular cylinder in

forced convection flow at: (a) Pr = 1 and Pr = 10; (b) Re = 60-160.

220 III. RESULTS AND DISCUSSION

221 A. Characteristics of heat convection

222 To explore the details of heat convection mechanism, the local Nusselt number $Nu_{(0)}$ along the

223 cylinder's surface is recorded in Fig. 4 and Fig. 5. Figure 4 shows that the time-averaged distribution 224 of the $Nu_{(0)}$ number is symmetric about the streamwise centerline behind the cylinder in forced convection (Ri = 0), which agrees well with the observations in literature.¹² The maximum value of 225 226 the $Nu_{(0)}^{\text{mean}}$ is found around the front stagnation point ($\theta = 180^{\circ}$). However the minimum value of 227 the $Nu_{(\theta)}^{\text{mean}}$ is not at the rear stagnation point ($\theta = 0^{\circ}$), but at $\theta = 50^{\circ}$ approximately.³⁸ Figure 4(a) 228 also shows that the heat convection can be significantly enhanced by increasing the value of Pr number, especially for the cases of low Pr numbers within the range of 0.7-10.13 As shown in Fig. 229 4(b), the maximum $Nu_{(0)}^{\text{mean}}$ on the front stagnation point at Pr = 0.71 is 3.92 % higher than that at 230 231 Pr = 0.7 reported by Sarkar et al.¹³ Furthermore, Fig. 4(b) also shows that the distribution of the Nu 232 $m_{(0)}^{\text{mean}}$ along the cylinder's surface increases proportionally with the *Re* number, especially for the 233 locations around the front and back stagnation points.



Figure 4. The distribution of the time-averaged Nusselt number $Nu_{(0)}^{\text{mean}}$ around the cylinder in forced convection (Ri = 0): (a) comparison with reported results; (b) comparison at different Re.

234 235

Figure 5 shows the time histories of the $Nu_{(0)}$ distribution along the cylinder's surface measured counterclockwise from the back of the cylinder ($\theta = 0^{\circ}$). It can be seen that the fluctuation of heat convection on both sides of the cylinder is asymmetric in mixed convection. However this asymmetry can be significantly suppressed by increasing the *Re* number alone. Meanwhile, it is also noticed that the thermal boundary layer at the front stagnation point is very thin and results in a strong temperature gradient around these local region.

The periodic and alternatively shed vortices causes the continuous exchange of fluid momentum and thermal energy in wake and induces the fluctuation of the local $Nu_{(0)}$ along the

cylinder's surface. Consequently, the most of the variation of $Nu_{(0)}$ usually occurs around the back stagnation point of the cylinder ($\theta = 0^{\circ}$ and 360°), as shown in Fig. 5. It is also found that the shedding process starts with the generation of an upper vortex blob identified by the stretching of the vorticity strand at the upper cylinder shoulder, e.g., the case of Re = 60 and Ri = 2.0. This observation agrees well with the findings of Biswas and Sarkar.³⁸ Consequently, the value of local $Nu_{(0)}$ fluctuates periodically around $\theta = 0^{\circ}$ and 360° in Fig. 5.





Figure 5. The spatial-temporal evolution of $Nu_{(0)}$ around the cylinder's surface in mixed convection (Ri = 2) for Re number ranging from 60 to 160.

The time-averaged and root-mean-square (RMS) values of the *Nu* number along the cylinder's surface are plotted in Fig. 6. It shows that the value of Nu^{mean} increases slowly with the increase of *Ri* number. Comparing with the *Re* number, the changes of *Ri* number (cross buoyancy) have very little influence on the efficiency of heat transfer across the cylinder's surface. On the other hand it is also found that the value of Nu^{mean} arises significantly with the increase of *Re* number (stronger fluid momentum) for a particular *Ri* number. Moreover, as illustrated in Fig. 6(b), the value of Nu^{RMS} is found increasing linearly with the *Ri* number for Re = 80-160. However, in the case of Re = 60,



262 the value of Nu^{RMS} increases exponentially with the *Ri* number instead.

263 264

Figure 6. Variation of Nusselt number along the cylinder's surface with respect to Re and Ri numbers: (a) the variation of the time-averaged Nusselt number Nu^{mean} with respect to Ri number; (b) the variation of the root-mean-square Nusselt number Nu^{RMS} with respect to Ri number.

268 The normalized frequency amplitude spectral density (ASD) contours of $Nu_{(0)}$ along the 269 cylinder's surface are shown in Fig. 7. It shows that the ASD contours are distributed symmetrically 270 around the cylinder's surface in forced convection (Ri = 0). The frequency of the dominant mode 271 increases gradually with the increase of Re number. The second frequency component of $Nu_{(\theta)}$ 272 (around $f^* = 0.3667$) also appears in the case of Re = 160 and Ri = 0 in Fig. 7. In mixed convection, 273 similar to the results in Fig. 5, the ASD contours of $Nu_{(\theta)}$ become asymmetric, because of the 274 existence of cross buoyancy. It is also noticed that the amplitudes of the frequency components of 275 the $Nu_{(0)}$ mode increase progressively as the *Ri* number increases and are generally bounded by 0.5. 276 Furthermore, compared with the frontal area of the cylinder ($\theta = 180^\circ$), the frequency spectrum of $Nu_{(0)}$ is much wider around the back area of the cylinder ($\theta = 0^{\circ}$ or 360°). On the other hand, the 277 278 dominant frequency component around the frontal area of the cylinder is found to be $f^* = 0.08$ in 279 Fig. 7. In contrast, the dominant frequency component of the $Nu_{(\theta)}$ mode around the back area of the 280 cylinder are about $f^* = 0.16$ instead. Furthermore, the distribution of frequency modes of local $Nu_{(0)}$ 281 is found generally symmetric on both sides of the cylinder. This observation is confirmed in the 282 representative cases of the forced and mixed convection, in which the most of the strong frequency 283 modes are concentrated around the back area of the cylinder where the strong mixing of fluid occurs.





Figure 7. The spatial distribution of the frequency of $Nu_{(\theta)}$ in time domain for different *Ri* and *Re* numbers.

In mixed convection, the heat convection across the cylinder's surface is affected by both Re(fluid inertia) and Ri (buoyancy) numbers. In frequency domain, Fig. 8 shows the variation of the frequency spectrum of Nu with respect to Re and Ri numbers in mixed convection flow subject to cross buoyancy. The normalized frequency amplitude spectral density (ASD) contours in Fig. 8 suggest that the Ri number (thermal cross buoyancy) has limited influence on the frequency of Nu

292 number (heat convection across the cylinder), except for the case of Re = 60. In the case of Re = 60293 in Fig. 8, the strong nonlinear features in heat convection dynamics are observed and manifest as 294 multiple frequency modes of Nu for Ri > 1.4 approximately. This observation can be confirmed 295 from the time history of the frequency contours of Nu number computed using the wavelet 296 scalogram in Fig. 9. In the wavelet scalogram, when the resolution of frequency is important, the 297 Gabor wavelet can be used to plot the real part of the wavelet analysis and trace the minima and 298 maxima of a signal.^{51,52} Comparing the cases of Re = 60 and Re = 160 in Fig. 9, it can be seen that 299 the frequency contours for Re = 160 are very regular in time for different Ri numbers. In contrast, 300 the frequency contours for Re = 60 become unsteady in time for Ri = 2.0, which agrees with the 301 observation in Fig. 7 and Fig. 8 for the case of Re=60. Figure 9 also shows that the real-valued 302 wavelet isolates the local minima and maxima of the frequency contours of Nu. In addition, it is also 303 noticed that the dominant frequency of Nu in forced convection is about twice of that in the mixed convection. Compared with the analysis in literature,³⁸ when the generation of an upper vortex blob 304 305 is identified by the stretching of the vorticity strand at the upper cylinder shoulder ($Ri \ge 1$ for Re =306 60 in this study), the overall response of heat convection becomes oscillatory in time domain and 307 possesses multiple modes in frequency domain.





309 Figure 8. Variation of the frequency of Nusselt number with respect to different *Ri* and *Re* numbers,

310 where the blue and red triangles highlight the upper and lower limit values, respectively.





312 Figure 9. Time history of the frequency contours of Nusselt number for different *Re* and *Ri* numbers.

B. Hydrodynamic response subject to cross buoyancy

The vortex dynamics in mixed convection is rich of physics, since the hydrodynamics and buoyancy effect are strongly coupled in the wake. Figure 10 shows the variations of hydrodynamic coefficients of the heated cylinder with respect to the *Ri* number. In this study, a number statistical quantities are defined to quantify the complexity of dynamics in mixed convection. For instance, the time-averaged hydrodynamic coefficients ($C_{\rm D}^{\rm mean}$ and $C_{\rm L}^{\rm mean}$) and the root-mean-squared hydrodynamic coefficients ($C_{\rm D}^{\rm RMS}$ and $C_{\rm L}^{\rm RMS}$) are defined as:

320
$$C_{\rm D}^{\rm mean} = \frac{1}{N} \sum_{i=1}^{N} \frac{h_x^{*cyl}}{0.5\rho_0 U_{\infty}^2 D}; \quad C_{\rm L}^{\rm mean} = \frac{1}{N} \sum_{i=1}^{N} \frac{h_y^{*cyl}}{0.5\rho_0 U_{\infty}^2 D}$$
(4a)

321
$$C_{\rm D}^{\rm RMS} = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left[\frac{h_x^{*cyl}}{0.5\rho_0 U_\infty^2 D} \right]^2} ; \quad C_{\rm L}^{\rm RMS} = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left[\frac{h_y^{*cyl}}{0.5\rho_0 U_\infty^2 D} \right]^2}$$
(4b)

where *N* is the number of sample data in the time series. The $h_x^{*_{cyl}}$ and $h_y^{*_{cyl}}$ are the dimensionless traction force exerted on the cylinder in the x and y directions, respectively.

Figure 10(a) and Figure 10(b) show that the values of $C_{\rm D}^{\rm mean}$ and $C_{\rm L}^{\rm mean}$ decrease progressively as

the *Ri* number increases for *Re* =80–160. In contrast the values of both $C_{\rm D}^{\rm RMS}$ and $C_{\rm L}^{\rm RMS}$ increase gradually for *Re* =80–160 instead. However, different from the value of $C_{\rm D}^{\rm mean}$ in Fig. 10(a), the values of $C_{\rm L}^{\rm RMS}$ in Fig. 10(b) are very different for different *Re* numbers. In the cases of *Re* = 60, the value of $C_{\rm D}^{\rm mean}$ decreases for *Ri* = 0–1 and increases for *Ri* = 1–2. On the other hand, in the case of *Re* = 60, the value of $C_{\rm L}^{\rm mean}$ increases for *Ri* = 1–1.6 and decreases for *Ri* = 1.6–2. Overall, the values of both $C_{\rm D}^{\rm RMS}$ and $C_{\rm L}^{\rm RMS}$ increase significantly for *Ri* = 1–2. Among the three values of *Ri* number, the maximum value of $C_{\rm L}^{\rm RMS}$ is found in the case of *Ri* = 2 and *Re* = 60 in Fig. 10(d).



Figure 10. Variation of hydrodynamic coefficients with respect to Ri number: (a) the time-averaged drag coefficient $C_{\rm D}^{\rm mean}$; (b) the time-averaged lift coefficient $C_{\rm L}^{\rm mean}$; (c) the root-mean-square drag coefficient $C_{\rm D}^{\rm RMS}$; (d) the root-mean-square lift coefficient $C_{\rm L}^{\rm RMS}$.

339 Similarly, the amplitude spectral density (ASD) contours of the hydrodynamic coefficients (C_D 340 and C_L) are plotted in Fig. 11 and Fig. 12. Figure 11 shows that the ASD contours of C_D increases 341 with the *Ri* number for the cases of a fixed *Re* number. On the other hand, in the canonical case of

342 flow over a cylinder, the periodic vortex shedding results in a periodic change of hydrodynamic 343 forces. It is known that the plot of C_D vs. C_L is a typical figure "8" graph and satisfies the relationship 344 that the dominant frequency of the $C_{\rm D}$ is twice of the $C_{\rm L}$. However, in the case of mixed convection, 345 due to the existence of cross buoyancy, the dynamics of $C_{\rm D}$ consists of multiple harmonics of the 346 fundamental frequency. By comparing Fig. 11 and Fig. 12, it is noticed that the fundamental 347 frequency of the C_D is synchronized with the C_L . The second frequency component of the C_D is 348 about twice of its fundamental frequency. Furthermore, comparing the ASD contours of the drag 349 coefficient $C_{\rm D}$ in Fig. 11 and those of the heat convection Nu in Fig. 8, it is found that the dynamics 350 of C_D and Nu are also synchronized together in time domain.







It is know that the frequency of vortex shedding is characterized by Strouhal number. Generally, the value of *St* is calculated by taking the Fast Fourier Transform (FFT) of the temporal evolution of the lift force and the highest peak of the harmonics in the FFT portrait represents the corresponding *St* number.¹³ Recollecting results in Fig. 3, it can be confirmed that the value of *St* falls in the range of 0.136–0.188 for Re = 60–160. Apart from the multiple harmonics of the fundamental frequency of C_D in Fig. 11, the responses of C_L only consist of one dominating frequency for each *Ri* number in Fig. 12. It is believed that this dominating frequency component



360 of the $C_{\rm L}$ is associated with the vortex shedding process of the heated cylinder.

361



To explore the evolution of the local pressure coefficient $(C_{P(\theta)})$ in time domain, the space-time plots of $C_{P(\theta)}$ for Ri = 0-2 and Re = 60-160 are plotted in Figs. 13-15. The similar results were reported recently by Chopra and Mittal in the past.⁵³ It was found that the dynamics of vortex shedding is a periodic process with alternating values of low and high pressure.⁵³ In this study, it is also realized that as the vortex shedding frequency *St* increases, the fluctuation of $C_{P(\theta)}$ in Fig. 13 also increases in the case of forced convection (Ri = 0).



369

Figure 13. The space-time variation of the pressure coefficient ($C_{P(\theta)}$, t) around the cylinder's surface for Ri = 0 (forced convection).

372 Different from the case of forced convection, the wake behind the cylinder becomes 373 significantly asymmetric due to the existence of cross buoyancy in mixed convection. Consequently, 374 the pressure distributions along the cylinder's surface for Ri = 1-2 and Re = 60-160 are asymmetric 375 in Figs. 14–15 in this study. It is found that the pressure on the lower side of the cylinder is lower 376 than those on upper side. Hence it results in the negative values of C_{L}^{mean} . Therefore, as shown in Fig. 377 10(b), the higher the value of Ri number is, the larger the difference of $C_{P(\theta)}$ between the upper and lower sides of cylinder is and the smaller the value of C_{L}^{mean} becomes. For the cases of the same Ri378 379 number, the symmetry of the $C_{P(\theta)}$ distribution on the upper and lower sides of the cylinder is 380 enhanced as the Re number increases. Similar to the cases of forced convection in Fig. 13, as Re 381 number increases, the oscillation of local $C_{P(\theta)}$ distribution along the cylinder's surface becomes stronger. This implies that the occurrence of vortex shedding and a large value of $C_{\rm L}^{\rm RMS}$. 382

383



Figure 14. The space-time variation of pressure coefficient ($C_{P(\theta)}$, t) around the cylinder surface for





387

Figure 15. The space-time variation of pressure coefficient ($C_{P(\theta)}$, t) around the cylinder surface for Ri = 2.

390 Compared with the normalized frequency distribution of $Nu_{(\theta)}$ in Fig. 7, the fundamental 391 frequency of the $C_{P(\theta)}$ in the case of Ri = 2 in Fig. 16 is about twice of $Nu_{(\theta)}$. Similar to the ASD 392 contours of the drag coefficient C_D in Fig. 11, it is believed that the second frequency component of 393 the $C_{\rm D}$ is closely associated with the dominant frequency component of $C_{P(\theta)}$, the origin of the form 394 drag. In addition, it is also found that the amplitude of the $C_{P(\theta)}$ is bounded between 0–0.5 uniformly. 395 The dominant frequency will increase progressively with the increase of Re number for the cases of 396 the same Ri number. On the other hand, it is also realized that the amplitude of frequency component 397 of $C_{P(0)}$ increases gradually with the increase of Ri number for the cases of the same Re number, and 398 the frequency bandwidth is increasing as well.







402 C. Characteristics of fluid kinetic energy and thermal energy in wake

403 In this session, the transportation of fluid kinetic energy and thermal energy in fine scale in 404 forced and mixed convection are studied. The normalized time-averaged velocity field u_{mean}^* and v405 $*_{mean}^*$, and the time-averaged temperature field T_{mean}^* are defined as:

406
$$u_{\text{mean}}^* = \frac{1}{N} \sum_{i=1}^N u_i^*; \quad v_{\text{mean}}^* = \frac{1}{N} \sum_{i=1}^N v_i^*; \quad T_{\text{mean}}^* = \frac{1}{N} \sum_{i=1}^N T_i^*$$
(5)

407 Where N is the number of sampled data in the time series. u_i^* , v_i^* and T_i^* are the dimensionless 408 streamwise velocity component, transverse velocity component and temperature fields, respectively. 409 In forced convection (Ri = 0), the coherent structures of the vortex dynamics and thermal diffusion 410 in wake are symmetric. Although in mixed convection (Ri > 0), Fig. 17 shows that the cross 411 buoyancy effect has a limited effect on the length of the recirculation region. However, as Ri number 412 increases, the strong asymmetries are observed in the velocity and temperature fields due to the 413 existence of cross buoyancy, e.g. the mean streamwise velocity component, the asymmetric flux of 414 mean transverse velocity component in wake and the heat convection in wake in Fig. 17.



415



417 Besides the study of the mean flow, the study of Reynolds stresses provides an analytical 418 approach to quantify the dynamics of the cascades of the fluid kinetic energy and thermal energy in 419 fine scale in wake and the characteristics of the associated fluid stability. Based on the Reynolds 420 decomposition, the Reynolds stresses can be computed as:

421
$$u_i^* = u_{\text{mean}}^* + u_i'^*; \quad v_i^* = v_{\text{mean}}^* + v_i'^*; \quad T_i^* = T_{\text{mean}}^* + T_i'^*$$
(6)

422 where the $(u_i^{*}, v_i^{*}, T_i^{*})$ is the fluctuating component. Various Reynolds averaged quantities 423 (Reynolds normal stresses: $\overline{u'*u'*}$, $\overline{v'*v'*}$ and the shear stresses: $\overline{u'*v'*}$ are calculated). 424 Similarly, the streamwise ($\overline{u'*T'*}$) and the transverse ($\overline{v'*T'*}$) velocity-temperature 425 correlations are also computed, because these quantities are associated with the cascade of 426 thermal energy transported by the fine-scale streamwise and transverse fluid fluctuations in wake. 427 Refer to Zafar and Alam's definition,⁵⁴ they are defined as :

428
$$\overline{u'^* u'^*} = \frac{1}{N} \sum_{i=1}^N u_i'^* \Box u_i'^*$$
(7a)

429
$$\overline{v'^*v'^*} = \frac{1}{N} \sum_{i=1}^N v_i'^* \Box v_i'^*$$
(7b)

430
$$\overline{u'^*v'^*} = \frac{1}{N} \sum_{i=1}^N u_i'^* \Box_i'^*$$
(7c)

431
$$\overline{u'^*T'^*} = \frac{1}{N} \sum_{i=1}^N {u'_i}^* [T_i'^*]$$
(7d)

432
$$\overline{v'^*T'^*} = \frac{1}{N} \sum_{i=1}^{N} {v'_i}^* [T'_i^*]$$
(7e)

Figure 18(a) shows the contours of Reynolds normal stress $\overline{u'^*u'^*}$ for the cases of Ri = 0, 1,433 2.0 and Re = 60, 100, 160. It is observed that there are two peaks in the contour of $\overline{u'^* u'^*}$ in the 434 435 wake behind the cylinder. These peaks are associated with the strong vortices formed by the separated boundary layers from the upper and lower sides of the cylinder.⁵⁴ In forced convection, 436 437 the peaks of $\overline{u'^*u'^*}$ are symmetric about the streamwise centerline. As the *Ri* number keeps 438 increasing, Fig. 18(a) shows that the fluid kinetic energy is further transferred by the fine-scale fluid 439 fluctuation upward in wake because of the cross-buoyancy effect. Furthermore, Fig. 18(b) also shows that the cascade of fluid kinetic energy, a large value of $\overline{u'^*u'^*}_{max}$, is much more stronger in 440 441 the case of larger *Ri* number and smaller *Re* number. In these cases, the wake is more prone to be 442 'turbulent', because of the presence of strong thermal cross-buoyancy against a weaker fluid inertia. Especially when Re < 100 and Ri > 1, the value of the $\overline{u'^* u'^*}_{max}$ increases proportionally with the 443 444 *Ri* number.



Figure 18. Reynolds normal stresses: (a) contours of the Reynolds normal stresses $\overline{u'^*u'^*}$ for different *Re* numbers; (b) variation of the maximum Reynolds normal stresses $\overline{u'^*u'^*}_{max}$ with respect to *Ri* number.

The longitudinal distance from the cylinder center to the $\overline{u'^*u'^*}_{max}$ coincides with the vortex formation length L_f^* (= L_f/D) and width W_f^* (= W_f/D), as marked in Fig. 18(a). Zafar and Alam⁵⁵ illustrates that the vortex formation length L_f^* may have a great influence on the value of Nu^{mean} along the cylinder's surface since a shorter L_f^* means that the core of the recirculating flow is close to the cylinder and results in a higher value of Nu^{mean} . Based on this discussion, it is believed that the Nu^{RMS} along the cylinder's surface is also effected, as shown previously in Fig. 6. Furthermore,

it is shown by the peaks of $\overline{u'^*u'^*}$ in Fig. 18(a) that the distribution of Reynolds normal stresses 458 459 are symmetric about the streamwise centerline in forced convection. Whereas this symmetry breaks 460 down in mixed convection, because of the presence of strong cross buoyancy. As a result, the vortex 461 formation length L_t^* and width W_t^* on upper and lower side of the cylinder are also asymmetric in 462 mixed convection. A summary of influence of thermal cross buoyancy on the vortex formation 463 length L_t^* and width W_t^* is plotted in Fig. 19, in which the values of L_t^* and width W_t^* on both the 464 upper and the lower sides of the cylinder (the solid line for the upper side, the dotted line for the lower side) are presented. It can be seen that the value of L_{ℓ}^{*} keeps reducing as the cross-buoyancy 465 466 effect becomes stronger and implies a stronger heat convection over the cylinder's surface. On the 467 other hand, the overall width W_{f}^{*} does not change remarkably as the *Ri* number increases.



Figure 19. Variation of (a) normalized vortex formation length L_f^* and (b) wake width $W_f^* (= y_1^* - y_2^*)$ with respect to *Ri* number, where y_1^* (solid line) and y_2^* (dotted line) represent the distance from the upper and lower peak of $\overline{u'^*u'^*}$ to the wake centerline, respectively.

468 469

473 In contrast to the Reynolds normal stress, the contours of Reynolds transverse stress $\overline{v'^*v'^*}$ manifests itself as a single peak in wake. In forced convection (Ri = 0), the distribution of $\overline{v'^*v'^*}$ 474 475 is symmetric and located along the streamwise centerline of cylinder. Whereas the distribution of $\overline{v'^*v'^*}$ becomes asymmetric and deflects upward in mixed convection in Fig. 20(a). The peak value 476 477 of $\overline{v'^*v'^*}$ shifts to the lower side as the *Ri* number increases.⁵⁴ Similarly to the maximum Reynolds normal stress $\overline{u'^*u'^*}_{max}$, the maximum transverse stress $\overline{v'^*v'^*}_{max}$ increases significantly with 478 479 the increase of *Ri* number for Re = 60-80, and grows gradually for Re = 100-160 in Fig. 20(b). It is also found that the lateral spread of $\overline{v'^*v'^*}$ becomes narrow for Ri = 0 and is enlarged as the Ri480







Figure 21(a) displays the variation in Reynolds shear stress $\overline{u'^*v'^*}$ with respect to *Ri* and *Re* numbers. The value of $\overline{u'^*v'^*}$ gives a degree of correlation between the streamwise and transverse fluctuating velocity components. It is found that the contours of $\overline{u'^*v'^*}$ is symmetrically distributed along the centerline in wake in force convection (*Ri* = 0), but becomes asymmetric in mixed convection in Fig. 21(a) because of the presence of cross buoyancy. Two peaks of $\overline{u'^*v'^*}$

494 contour emerge in the field because of the alternative vortex shedding process. The values of 495 $\overline{u'^*v'^*}_{max}$ increases significantly with the increase of *Ri* number for *Re* = 60 and 80, and grows 496 gradually for *Re* = 100–160 in Fig. 21(b).



501 Figure 21. Reynolds shear stresses $\overline{u'^*v'^*}$: (a) contours of $\overline{u'^*v'^*}$ for different *Re* numbers; (b) 502 variation of the maximum value $\overline{u'^*v'^*}_{max}$ with respect to *Ri* number.

Figure 22(a) shows the distribution of $\overline{u'^*T'^*}$ contours for different *Ri* and *Re* numbers. Similar to the Reynolds normal and transverse stresses, the contours of $\overline{u'^*T'^*}$ are symmetrically distributed in wake in forced convection (*Ri* = 0) and asymmetrically distributed in mixed convection (*Ri* > 0) in Fig. 22(a). For *Ri* = 0, a strong peak (positive) and a small peak (negative)

507 form on each side of the cylinder. The streamwise positions of the positive peak match with that of Reynolds normal stress $\overline{u'^*u'^*}$ in Fig. 18. It means that the heat convection in wake is primarily 508 509 driven by the vortex shedding and fluid momentum in forced convection.⁵⁵ However, this conclusion 510 does not apply to the cases of low Re number and high Ri number in this study. In accordance to the 511 study in the literature,³⁸ it is believed that when the formation of an upper vortex blob originates 512 from the stretching of the vorticity strand at the upper cylinder shoulder ($Ri \ge 1$ for Re = 60, in this 513 study), the entire heat convection becomes unsteady and oscillates in time. This results in a dynamics 514 of mixing process involving multiple frequency components, as shown previously in Figs. 7-9. In addition, Fig. 22(b) also shows that the values of $\overline{u'^*T'^*}_{max}$ increases significantly with the 515



31



519 520

Figure 22. The time-averaged heat fluxes in the streamwise direction $(\overline{u'*T'*})$: (a) contours of $\overline{u'*T'*}$ for different *Re* numbers; (b) variation of the maximum value $\overline{u'*T'*}_{max}$ with respect to *Ri* numbers.

524 In terms of the thermal energy dissipation in the transverse direction, Fig. 23(a) shows that the positive and negative contours of $\overline{v'^*T'^*}$ appear in pairs in wake, a positive peak on the upper side 525 526 and a negative peak on the lower side of the cylinder. It means that the fluid momentum brings in 527 more cold fluid into the wake towards the centerline behind the cylinder. It can be seen that the contour of $\overline{v'^*T'^*}$ is symmetrically distributed in wake in forced convection (Ri = 0) and 528 529 asymmetrically distributed in mixed convection (Ri > 0) as shown in Fig. 22(a). As Ri number increases in the cases of Re = 60-160, the positive peak of $\overline{v'^* T'^*}$ contour is strengthened and 530 531 stretched upward due to the thermal cross-buoyancy effect. Whereas the negative contour of $\overline{v' * T' *}$ is vanishing instead. It means that there is a stronger heat exchange happening on the upper 532 side of the cylinder. A summary of the dependency of $\overline{v'^*T'^*}_{max}$ on the *Ri* number is plotted in 533 Figure 23(b). It shows that the value of $\overline{v'^*T'^*}_{max}$ increases significantly with the *Ri* number for 534 535 Re = 60-80, and grows gradually for Re = 100-160 instead.



541 $\overline{v' * T' *}$ for different *Re* numbers; (b) variation of the maximum value $\overline{v' * T' *}_{max}$ with respect to 542 *Ri* number.

Figure 24 shows the variation of the boundary layer separation points with Ri, where θ_{s1} and θ_{s2} represent the locations of the upper and lower separation points, respectively, measured from the rear stagnation point. On account of the crossflow thermal buoyancy, the two separation points are asymmetrically distributed in mixed convection (Ri > 0). For the same Re, the values of θ_{s1} at Ri >0 are generally smaller than that at Ri = 0. In contrast, the value of θ_{s2} gradually increases with Ri at the same Re. Consequently, the asymmetrical recirculation region and wake are generated behind

the cylinder in mixed convection. Particularly, θ_{s2} reaches 180° at Ri = 2.0 when Re = 60, signifying the separation point of the lower boundary layer shifts to the front stagnation point. It implies that the thermal buoyancy at Ri = 2.0 overcomes the inertia force at Re = 60. The same phenomenon was observed by Biswas and Sarkar.³⁸



553

554 Figure 24. Variation of the boundary layer separation points with *Ri*.

555 D. Dynamic mode decomposition

In this section, a modal analysis is conducted based on the dynamic mode decomposition (DMD) technique so as to extract the spatial-temporal modes that play an important role in flow and heat convection processes. Since the application of DMD technique in fluid flow, it has been widely accepted in the fluid community for modal analysis of flow field, especially the isothermal flow over a bluff body.⁵⁶ In this study, one of the primary focus is to explore the fundamental mechanism in fluid-thermal-solid interaction by extracting the dominant spatial-temporal modes.

562 Figure 25(a, b) shows that the step-by-step procedures to apply the DMD algorithm on the 563 spanwise vorticity ω_{z} in forced convection (Ri = 0) and mixed convection (Ri = 2), respectively. 564 Unlike the proper orthogonal decomposition (POD), the DMD algorithm can not only extract the 565 spatial-temporal modes but also a set of eigenvalues associated with each one of them to 566 approximate their temporal characteristics, e.g., delay or growth. The mean flow mode is not 567 subtracted in the DMD calculation in this study. Therefore, the first mode (shown as model in the 568 DMD process) presents the background mode (mean flow) that does not change in time (i.e., it has 569 zero eigenvalue), as shown in Fig. 25.



For the case of forced convection in Fig. 25(a), it is found that the spatial distribution of the

DMD modes are symmetric and similar to the Bagheri's simulation works⁵⁷ and Tu et al.'s 571 572 experimental results⁴³. Figure 25(a) further demonstrates that the first 9 modes account for the 99.00% 573 of cumulative energy. As a result, the vorticity structure reconstructed by these modes is able to 574 precisely approximate the original field data. However, due to the influence of thermal cross 575 buoyancy, it is noticed in Fig. 25(b) that the spatial distribution of DMD modes is asymmetric in 576 wake in mixed convection (Ri = 2). Furthermore it is also noticed that the first 12 DMD modes 577 account for 99.02% of the cumulative energy in the case of mixed convection. The requirement of 578 relatively more DMD modes means that stronger non-linear features exist in wake compared with 579 the case of forced convection. Consequently more linear DMD modes are required to accurately 580 reconstruct the original vorticity field in mixed convection.





Figure 25. Schematic diagram of the data processing with DMD algorithm for the spanwise vorticity ω_z for (a) Ri = 0 and (b) Ri = 2.

The value of k in DMD algorithm is an important parameter. Normally the condition $\sigma^k(\%) \ge$ 99.0% (k < 15) is chosen to determine a suitable value of k to accurately reconstruct the original field data. Based on the aforementioned criteria, the spatial distribution of the DMD modes of the Z vorticity (ω_z) field is presented in Fig. 26. It is observed that when Ri > 1, the cumulative energy $\sigma^k(\%)$ of the first 14 modes cannot reach 99% for Re = 60 because of the existence of strong cross
590 buoyancy. Therefore, it is believed that the much stronger nonlinear features exist in mixed 591 convection and require more linear DMD modes to reconstruct the original field. The modal analysis 592 in the case of Ri = 2 and Re = 80 also agree with this observation. This can also be linked to the aforementioned multiple harmonics characters of $C_{\rm L}^{\rm RMS}$ in Fig. 10(d) and the ASD contours of $C_{\rm L}$ in 593 594 Fig. 12, in which multiple modes are induced by cross-buoyancy effect in frequency domain. In 595 addition, it is also found that the energy of the first DMD mode decreases with the increase of Ri 596 number in the case of the same Re number. On the other hand, the number of DMD modes required 597 to reconstruct the original field data is also found increased as Re number increases in the case of 598 the same *Ri* number.





600 Figure 26. Dependence of σ^k (%) on the value of k for the spanwise vorticity ω_z field.

601 In terms of the normalized temperature field T^* , Fig. 27(a, b) shows that the modal analysis 602 conducted for the T^* field in forced convection (Ri = 0) and mixed convection (Ri = 2), respectively. 603 As shown in Fig. 27(a), the first 9 modes account for 99.30% of the cumulative and represents an 604 accurate reconstruction of the temperature field. However, due to the influence of cross-buoyancy 605 effect, for instance the case of Ri = 2, the instantaneous temperature field and the associated DMD 606 modes are significantly perturbed and asymmetrically distributed in space. Furthermore, it is also noticed that the first 10 modes of T^* account for 99.00% of the cumulative energy in mixed 607 608 convection, which is slightly higher than those in forced convection. It suggests that there exist

- 609 stronger the nonlinear features in the temperature field. Whereas, compared with the spanwise
- 610 vorticity field in Fig. 25(b), the normalized temperature field requires less DMD modes to
- 611 reconstruct the original field data instead.





Figure 27. Schematic diagram of the data processing with DMD algorithm for the normalized temperature (T^*) for (a) Ri = 0 and (b) Ri = 2.

616 The spatial distribution of the DMD modes of the normalized temperature (T^*) field can be 617 found in Fig. 28. Analogous to the criteria used to determine the value of k for the vorticity field, a 618 suitable value of k is also chosen to reconstruct the instantaneous temperature field based on the criteria σ^k (%) \geq 99.0% (k < 15). Figure 28 shows that when Ri > 1, the cumulative energy σ^k (%) of 619 620 the first 14 modes cannot reach 99% for Re = 60. It means that stronger nonlinear feature exist in 621 the temperature field for the wake subject to the cross-buoyancy effect and more linear DMD modes 622 are required to accurately reconstruct the original temperature field. The same conclusion applies 623 for the case of Ri = 2 and Re = 80 as well.

624 Similar to the discussion of ω_z field, an appropriate value of *k* parameter should be chosen for 625 the reconstruction of T^* field in advance. Overall, Fig. 28 shows that a higher value of *k* (more DMD 626 modes) should be chosen for a larger *Ri* number (strong cross buoyancy) to accurate reconstruct the 627 original temperature field. On the other hand, it is also realized that influence of the cross-buoyancy

628 effect is weakened as the fluid inertia force keeps increasing (higher Reynolds number). This can 629 be observed from the curves in Fig. 28, which are converging for different values of Ri numbers. 630 Overall, it is found that the value of k > 15 can return an accurate approximation of the original field 631 data in this study.



632

633 Figure 28. Dependence of σ^k (%) on the value of k for the normalized temperature T^* field.

634 IV. CONCLUSIONS

Flow over a heated circular cylinder is a canonical issue in thermal engineering. In comparison with the isothermal fluid flow, the buoyancy effect introduced by mixed convective flow may enhance the hydrodynamic instability of a circular cylinder and hence complicated flow regimes in

wake. To investigate the hydro- and thermo-dynamic characteristics of a circular cylinder, a numerical study was conducted to investigate the complex mechanism of vortex dynamics in wake and the heat convection along a heated cylinder in mixed convection flow subject to cross buoyancy at Pr = 0.71, Re = 60-160, and Ri = 0-2.0. The employed numerical formulation was validated with the numerical and experimental data in literature.

643 Since the cross-buoyancy effect is negligible in forced convection (Ri = 0), similar to the 644 isothermal fluid flow, it was found that both the distributions of $Nu_{(max)}^{(max)}$ and $C_{P(\theta)}$ along the cylinder's 645 surface and the wake structure are symmetric, including the fluid momentum and thermal energy transport $(u_{\text{mean}}^*, v_{\text{mean}}^*, T_{\text{mean}}^*, \overline{u'^*u'^*}, \overline{v'^*v'^*}, \overline{u'^*v'^*}, \overline{u'^*T'^*}$ and $\overline{v'^*T'^*}$). In contrast, because 646 647 of the presence of thermal cross buoyancy in mixed convection (Ri > 0), the wake behind a heated 648 cylinder became significantly asymmetric and deflected against the gravitational direction. In mixed 649 convection, the heat convection across the cylinder's surface is affected by both Re (fluid inertia) 650 and Ri (buoyancy) numbers. In comparison with the Re number, the change of Ri number has less 651 influence on the efficiency of heat transfer across the cylinder's surface. Nevertheless, the value of 652 Nu^{RMS} increases exponentially with the *Ri* number at Re = 60, where the thermal buoyancy 653 overcomes the inertia force with the results of strong nonlinear features and multiple frequency modes. The maximum C_{L}^{RMS} of 0.96 is found in the case of Ri = 2 and Re = 60. Due to the thermal 654 655 cross buoyancy, multiple harmonics exist in the frequency domain for the dynamics of Nu(0), Nu, CD 656 and $C_{\rm L}$. The fundamental frequency of $C_{\rm D}$ is synchronized with the $C_{\rm L}$ and the second frequency 657 component is about twice of the fundamental one. Furthermore, the dynamics of $C_{\rm D}$ and Nu are 658 synchronized together in time domain, suggesting the strong coupling between the hydrodynamics 659 and buoyancy effects. The pressure on the lower side of the cylinder is lower than that on the upper 660 side, resulting in the negative value of $C_{\rm L}^{\rm nean}$. The higher the value of Ri number, the smaller the 661 value of $C_{\rm L}^{\rm mean}$.

By quantifying the Reynolds stresses, the cascade of fluid kinetic energy and thermal energy via the fine-scale fluid fluctuation in wake were studied. As *Ri* number increases, amplified asymmetries are observed in both the velocity and temperature fields. Larger Reynolds stresses are observed in the cases of larger *Ri* number and smaller *Re* number, indicating the presence of strong thermal cross-buoyancy against a weaker fluid inertia. As the cross-buoyancy effect becomes

667 stronger, the vortex formation length is reduced, contributing to the enhanced Nu^{RMS} and C_1^{RMS} .

668 A number of dominant spatial-temporal modes of vorticity and temperature fields were 669 extracted by applying the dynamic mode decomposition technique. It was realized that stronger 670 nonlinear features exist in the wake in mixed convection subject to cross buoyancy as Ri number 671 increases, compared with the forced convection. For the reconstruction of spanwise vorticity field 672 at Ri = 2 and Re = 100, the first 9 DMD modes account for 99.00% of the cumulative energy in the 673 case of forced convection, while the first 12 DMD modes are required in mixed convection. The 674 energy of the first DMD mode decreases with the increase of the *Ri* number. The same phenomenon 675 is found in the reconstruction of temperature field.

In general, the present study reports an insight into the hydro- and thermo-dynamic characteristics of a heated circular cylinder in mixed convection subject to cross buoyancy. The numerical results may provide references for the design of heat exchange tubes and the operation of exchangers.

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686 DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author uponreasonable request.

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